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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
Hermerding	) Examiner: Yanchus III, Paul B
Application No.: 09/752,575	) Art Group: 2116
Filed: December 29, 2000	)
For: A Mechanism for Managing Power Generated in a Computer System	) 

## APPEAL BRIEF IN SUPPORT OF APPELLANT'S APPEAL TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Sir:

Applicants (hereinafter "Appellants") hereby submit this Brief in support of its appeal from a final decision by the Examiner, mailed June 21, 2004, in the above-referenced Application. Appellants respectfully request consideration of this appeal by the Board of Patent Appeals and Interferences for allowance of the above-captioned patent application.

An oral hearing is not desired.

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#### I. REAL PARTY IN INTEREST

The invention is assigned to Intel Corporation of 2200 Mission College Boulevard, Santa Clara, California 95052.

#### II. RELATED APPEALS AND INTERFERENCES

To the best of Appellants' knowledge, there are no appeals or interferences related to the present appeal that will directly affect, be directly affected by, or have a bearing on the Board's decision.

#### III. STATUS OF THE CLAIMS

Claims 1-16 are currently pending in the above-referenced application. In the Final Office Action mailed June 21, 2004, claims 1-7 and 10-11 stand rejected under U.S.C. §102(b) as being anticipated by Durham et al. (U.S. Patent No. 6,000,036) ("Durham"). In addition, claims 8, 9, and 12-16 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Durham in view of Applicants' Admitted Prior Art (AAPA). Claims 1-16 are being appealed.

#### IV. STATUS OF AMENDMENTS

Claims 1-16 are currently pending in the subject application. These claims were finally rejected in the Final Office Action mailed June 21, 2004. The Examiner confirmed the final rejection of these claims in an Advisory Action mailed August 9, 2004 (hereinafter "Advisory Action").

In response to the Final Office Action mailed on June 21, 2004, rejecting claims 1-7 and 10-11 under 35 U.S.C. §102(b) and claims 8, 9, and 12-16 under 35 U.S.C. §103(a), Appellants filed a Response After Final pursuant to 37 C.F.R. § 1.116 on July 12, 2004. No amendments were presented. Subsequently, an Advisory Action maintaining all rejections in the Final Office Action was mailed on August 9, 2004. In response, Appellants filed a Notice of Appeal on September 20, 2004. A copy of all claims on appeal is attached hereto as an Appendix of Claims.

Appellants respectfully traverse each of these grounds of rejection.

#### V. SUMMARY OF THE CLAIMED SUBJECT MATTER

According to one embodiment, a method is described. (See Fig. 4.) The method includes operating a computer system at a first central processing unit (CPU), receiving a first signal generated by a thermal sensor within the first CPU, and resuming operation of the computer system at a second CPU. (Page 6, lines 18-23.) Further embodiments of this method include determining a least recently used (LRU) CPU in the computer system upon receiving the signal from the first CPU. (See e.g., Fig. 4; page 7, lines 13-17.) In some embodiments the second CPU is the LRU CPU. (Page 7, lines 13-19.)

In a further embodiment, a computer system is described. (See Fig. 3.) The computer system includes a first CPU. It also includes a second CPU. (See e.g., Fig. 3, blocks 105a-105d.) The operation of the computer system is transferred from the first CPU to the second CPU upon the first CPU reaching a predetermined power threshold. (page 6, lines 18-23.) Further embodiments of the computer system include transferring the operation of the computer system from the second CPU to a LRU CPU upon the second CPU reaching a predetermined power threshold. (Page 7, lines 13-19.) In some embodiments a third CPU is the LRU CPU.

In still a further embodiment, a cooling system is described. (See Fig. 3.) The cooling system includes a heat pipe (Fig. 3, block 320), a first CPU coupled to the heat pipe, and a second CPU coupled to the heat pipe (Fig. 3, blocks 105a-105d.). The first CPU is active until reaching a predetermined power threshold. The second CPU becomes active upon the first CPU reaching the predetermined power threshold. (Page 6, lines 18-23.)

#### VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

Claims 1-7, 10, and 11 stand rejected under 35 U.S.C. §102(b) as being anticipated by (U.S. Patent No. 6,000,036) ("Durham").

Claims 8, 9, and 12-16 stand rejected under 35 U.S.C. §103(a) as being unpatentable over *Durham*, in view of Applicants' Admitted Prior Art (AAPA).

#### VII. ARGUMENT

1. THE PENDING CLAIMS 1-7, 10 AND 11 WERE IMPROPERLY REJECTED UNDER 35 U.S.C. § 102(b) BECAUSE DURHAM DOES NOT DISCLOSE OR SUGGEST TRANSFERRING OPERATIONS BETWEEN MULTIPLE CENTRAL PROCESSING UNITS (CPU) AND TRANSFERRING OPERATIONS FROM A FIRST CPU TO A LEAST RECENTLY USED (LRU) CPU

Appellants respectfully submit that *Durham* fails to disclose or suggest the claimed invention for the reasons set forth below. In order to find anticipation under 35 U.S.C. §102, the prior art reference must teach each and every aspect of the claimed invention either explicitly or impliedly. MPEP §706.02(IV).

(A) Claims 1, 4, 5, and 7 were improperly rejected because

Durham does not disclose or suggest transferring operations
between multiple CPUs

Claims 1, 4, 5, and 7 recite an element that is not disclosed in *Durham*. For example, Appellants' claim 1 recites the following:

A method of managing power generated within a computer system, the method comprising:

operating the computer system at a first central processing unit (CPU);

receiving a first signal generated by a thermal sensor within the first CPU; and resuming operation of the computer system at a second CPU.

Appellants' claim 5 recites:

A computer system comprising:
a first central processing unit (CPU); and
a second CPU, wherein the operation of the
computer system is transferred from the first CPU to
the second CPU upon the first CPU reaching a
predetermined power threshold.

Durham discloses a circuit for distributing an instruction to one of a plurality of functional circuits each positioned within different areas of an integrated circuit. The

circuit includes a first functional circuit positioned within a first area of the integrated circuit, and a second functional circuit positioned within a second area of the integrated circuit. The circuit also includes a first circuit for measuring or estimating power dissipation within the first area of the integrated circuit and generating a first signal relating to the measured or estimated power dissipation within the first area. A second circuit is provided for measuring or estimating power dissipation within the second area of the integrated circuit and generating a second signal relating to the measured or estimated power dissipation within the second area. The first signal and the second signal are processed and the instruction is routed to the first functional circuit for performance of an operation when the power dissipation in the second area exceeds a predetermined amount or to the second functional circuit when the power dissipation in the first area exceeds a predetermined amount. See Durham at co. 2, II. 14-31.

Appellants submit that *Durham* does not disclose or suggest transferring operations between multiple CPU units. However, the Examiner maintains that *Durham* discloses transferring operations between multiple CPU units. For instance, the Examiner asserts:

Durham teaches a processing circuit with two distinct neighborhoods or areas of functional units which are typically found in a microprocessor. These two neighborhoods perform substantially the same function [column 3, lines 20-55]. Since each neighborhood individually is able to perform functions of a microprocessor or CPU, each neighborhood can be interpreted to be a microprocessor or CPU. Therefore, Durham does suggest a processing circuit with a first CPU and a second CPU.

Final Office Action at page 4, Response to Arguments section.

Appellants disagree with Examiner's characterization of the *Durham* reference.

Durham discloses operating in only one microprocessor instead of multiple

microprocessors. The neighborhoods or areas in *Durham* are disclosed as being within a single microprocessor or integrated circuit. For example, *Durham* explicitly states, "current microprocessor designs have encountered problems where localized heating has forced the idling of particular portions (or functional units) of *the microprocessor chip*." Col. 1, lines 17-20 (emphasis added). *Durham* also clearly states that its "invention allows microprocessor designers to logically steer instructions or functions to different units within separate areas in response to the estimated or measured power dissipation within, or at, various areas of *the microprocessor*." Col. 6, line 66—col. 7, line 3 (emphasis added). Furthermore, *Durham* asserts that the problem it is trying to solve is "decreasing localized heating problems associated with functional units within a *microprocessor*." Col. 1, lines 61-62 (emphasis added).

Transferring operations between functional units within the same CPU is not equivalent to transferring operations between separate CPUs. The above statements from *Durham* make clear that while *Durham* discloses transferring operations between functional units, these functional units are within the same CPU. In these statements reference is made to only a single microprocessor and the heating problems within that single microprocessor. Furthermore, Appellants can find no mention in *Durham* of functional units operating in multiple microprocessors or CPUs. In light of *Durham's* stated intent to operate between functional units within a single microprocessor and the evident lack of any mention of transferring operations amongst multiple microprocessors, Appellants submit that there is no second CPU in *Durham* that operates when a first CPU reaches a predetermined threshold.

Appellants submit that *Durham* does not disclose or suggest transferring operations between multiple CPU units. Therefore, claims 1 and 5 are patentable over *Durham*.

For the foregoing reasons, Appellants submit that the Examiner has failed to search and find a printed publication or patent that discloses the claimed invention as set forth in MPEP § 706.02(a).

Claims 4 and 7 depend from claims 1 and 5, respectively. Given that dependent claims necessarily include the limitations of the claims from which they depend,

Appellants submit that the invention as claimed in claims 4 and 7 is similarly patentable over *Durham*.

Thus, the Examiner erred in rejecting claims 1, 4, 5, and 7 under U.S.C. § 102(b).

(B) Claims 2, 3, 10, and 11 were improperly rejected because

Durham does not disclose or suggest transferring operations
from a first CPU to a least recently used (LRU) CPU

Claims 2, 3, 10, and 11 are not anticipated under 35 U.S.C. §102(b) for the same reasons as given above with respect to claims 1, 4, 5, and 7, and further due to the additional limitation of transferring operations from a first CPU to a least recently used (LRU) CPU.

Appellants' arguments made above with respect to claims 1, 4, 5, and 7 apply equally to claims 2, 3, 10, and 11 and are incorporated herein by reference. With respect to a LRU CPU, Appellants' claim 2 recites the following:

The method of claim 1 further comprising determining a <u>least recently used (LRU) CPU</u> in the computer system upon receiving the signal from the first CPU.

Appellants' claim 10 recites:

The computer system of claim 1 further comprising a third CPU, wherein the operation of the computer system is transferred from the second CPU to a <u>least recently used</u> (<u>LRU</u>) <u>CPU</u> upon the second CPU reaching a predetermined power threshold.

Appellants submit that nowhere in *Durham* is there disclosed transferring operations from a first CPU to a LRU CPU. Accordingly, claims 2 and 10 are patentable over *Durham*.

Claims 3 and 11 depend from claims 2 and 10, respectively. Given that dependent claims necessarily include the limitations of the claims from which they depend, Appellants submit that the invention as claimed in claims 3 and 11 are similarly patentable over *Durham*.

For the forgoing reasons, Appellants submit that the Examiner has failed to search and find a printed publication or patent that discloses the claimed invention as set forth in MPEP § 706.02(a).

Thus, the Examiner erred in rejecting claims 2, 3, 10, and 11 under 35 U.S.C. §102(b).

2. THE PENDING CLAIMS WERE IMPROPERLY REJECTED UNDER 35 U.S.C. § 103(a) BECAUSE ANY COMBINATION OF **DURHAM AND APPLICANT'S ADMITTED PRIOR ART (AAPA)** DO NOT DISCLOSE OR SUGGEST TRANSFERRING **OPERATIONS BETWEEN MULTIPLE CPU UNITS** 

Appellants respectfully submit that *Durham* in view of AAPA fails to disclose or suggest the claimed invention for the reasons set forth below. As the Honorable Board is well aware, in order to establish a prima facie case of obviousness:

First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations." (Emphasis added). In re Vaech, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991). Manual of Patent Examining Procedure (MPEP), 8<sup>th</sup> Edition, Revision 2, May 2004, §2143.

(A) Claims 8, 9, and 12-16 were improperly rejected because *Durham* in view of AAPA does not disclose or suggest transferring operations between multiple CPU units

Claims 8, 9, and 12-16 are not obvious in view of *Durham* and AAPA under 35 U.S.C. §103(a).

As discussed above, nowhere does *Durham* teach or suggest each and every element of the Appellants' independent claims. For example, Durham does not teach transferring operations between multiple CPU units.

With respect to claims 8 and 9, which depend from claim 5, the Examiner cites AAPA for teaching a cooling system comprising a heating pipe, heat exchanger, and a cooling fan. However, since Durham fails to disclose many of the elements required by the Appellants' independent claims, including claim 5, and since AAPA fails to disclose, 11 Application No. 09/752,575

teach and/or suggest those elements missing from *Durham*, the combination of *Durham* and AAPA fails to teach or suggest each and every element of the Appellants' invention as embodied in the claims. Consequently, the Examiner has not established a prima facie case of obviousness, and the Examiner's rejection of claims 8 and 9 under 35 U.S.C. §103(a) as being obvious over the combination of *Durham* and AAPA should be reversed.

With respect to claims 12-16, the Examiner also cites AAPA for teaching a cooling system comprising a heating pipe, heat exchanger, and a cooling fan. Appellants' claim 12 recites:

A cooling system comprising:
a heat pipe; and
a first central processing unit (CPU) coupled to the heat pipe; and
a second CPU coupled to the heat pipe, wherein the first CPU is active until reaching a predetermined power threshold and the second CPU becomes active upon the first CPU reaching the predetermined power threshold.

Claim 12 recites elements similar to claim 5. As discussed above with respect to claim 5, nowhere does *Durham* teach or suggest each and every element of Appellants' independent claim. For example, Durham does not teach transferring operations between multiple CPU units. Therefore, since *Durham* fails to disclose many of the elements required by the Appellants' independent claims, including claim 12, and since AAPA fails to disclose, teach and/or suggest those elements missing from *Durham*, the combination of *Durham* and AAPA fails to teach or suggest each and every element of the Appellants' invention as embodied in the claims. Consequently, the Examiner has not established a prima facie case of obviousness, and the Examiner's rejection of claims 12 under 35 U.S.C. §103(a) as being obvious over the combination of *Durham* and AAPA should be reversed.

Claims 13-16 depend from claim 12. Given that dependent claims necessarily

include the limitations of the claims from which they depend, Appellants submit that the

invention as claimed in claims 13-16 is similarly patentable over *Durham* in view of

AAPA.

VIII. CONCLUSION

Careful review of the Examiner's rejections shows that the Examiner has failed to

provide any reference, or combination of references of the prior art that shows all of the

elements of each appealed claim. Therefore, Appellants respectfully submit that all

appealed claims in this application are patentable and were improperly rejected by the

Examiner during prosecution before the United States Patent and Trademark Office.

Appellants respectfully request that the Board of Patent Appeals and Interferences

overrule the Examiner and direct allowance of the rejected claims.

This brief is submitted with a check for \$330.00 to cover the appeal fee for one

other than a small entity as specified in 37 C.F.R. § 1.17(c). Please charge any shortages

and credit any overcharges to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: November 22, 2004

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#### IX. <u>APPENDIX OF CLAIMS (37 C.F.R. § 41.37(c)(1)(viii))</u>

The claims on appeal read as follows:

1. A method of managing power generated within a computer system, the method comprising:

operating the computer system at a first central processing unit (CPU); receiving a first signal generated by a thermal sensor within the first CPU; and resuming operation of the computer system at a second CPU.

- 2. The method of claim 1 further comprising determining a least recently used (LRU) CPU in the computer system upon receiving the signal from the first CPU.
- 3. The method of claim 2 wherein the second CPU is the LRU CPU.
- 4. The method of claim 2 further comprising:

  receiving a second signal generated by a thermal sensor within the second CPU;

  determining a CPU in the computer system; and

  resuming operation of the computer system at a third CPU.
- 5. A computer system comprising:
  - a first central processing unit (CPU); and

a second CPU, wherein the operation of the computer system is transferred from the first CPU to the second CPU upon the first CPU reaching a predetermined power threshold.

6. The computer system of claim 5 wherein the first CPU and the second CPU each include a thermal sensor.

- 7. The computer system of claim 6 wherein the operation of the computer system is transferred from the first CPU to the second CPU upon the thermal sensor within the first CPU measuring the predetermined power threshold.
- 8. The computer system of claim 5 further comprising a cooling system.
- 9. The computer system of claim 8 wherein the cooling system comprises:

  a heat pipe coupled to the first CPU and the second CPU;

  a heat exchanger; and

  a cooling fan.
- 10. The computer system of claim 1 further comprising a third CPU, wherein the operation of the computer system is transferred from the second CPU to a least recently used (LRU) CPU upon the second CPU reaching a predetermined power threshold.
- 11. The computer system of claim 10 wherein the third CPU is the LRU CPU.
- 12. A cooling system comprising:
  - a heat pipe; and
  - a first central processing unit (CPU) coupled to the heat pipe; and
- a second CPU coupled to the heat pipe, wherein the first CPU is active until reaching a predetermined power threshold and the second CPU becomes active upon the first CPU reaching the predetermined power threshold.
- 13. The cooling system of claim 12 wherein the first CPU and the second CPU each include a thermal sensor.
- 14. The cooling system of claim 12 further comprising:

a third CPU, wherein a least recently used (LRU) CPU becomes active upon the first CPU reaching the predetermined power threshold.

- 15. The cooling system of claim 14 wherein the third CPU is the LRU CPU.
- 16. The cooling system of claim 12 further comprising:a block coupled between the first CPU and the heat pipe;heat exchanger; anda cooling fan.

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# Complete if Known Application Number 09/752,575 Filing Date December 29, 2000 First Named Inventor James Hermerding Applicant claims small entity status. See 37 CFR 1.27. Applicant Claims small entity status. See 37 CFR 1.27. Complete if Known Application Number 09/752,575 Filing Date December 29, 2000 First Named Inventor James Hermerding Examiner Name Yanchus III, Paul B. Art Unit 2116

Attorney Docket No.

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#### TRANSMITTAL FORM December 29, 2000 Filing Date (to be used for all correspondence after initial filing) First Named Inventor James Hermerding Art Unit 2116 **Examiner Name** Yanchus III, Paul B. Total Number of Pages in This Submission 21 42390P9249 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter **Extension of Time Request** Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund Return Postcard Information Disclosure Statement PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Ashley R. Ott, Reg. No. 55,515 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature November 22, 2004 Date CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Appeal Brief-Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Application No.

09/752.575

Date

November 22, 2004

Leah Schwenke

Typed or printed name

Signature